

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application  
Akitomo Ohba

Serial No.: 10/827,238

Filed: April 20, 2004



Group Art Unit: 2132

Examiner: Barron, Jr., Gilberto

For: FINGERPRINT AUTHENTICATION SYSTEM AND METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.98 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, Applicant respectfully brings the following documents, cited in the Chinese Office Action in a counterpart foreign application and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

In compliance with the requirement under 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made, the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's

Deposit Account No. 50-0481.

04/05/2006 HAL111 00000156 10827238

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180.00 OP

Date:

4/4/06  
McGinn IP Law Group, PLLC  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817

Respectfully submitted,

Sean M. McGinn  
Registration No. 34,386

F-13110  
YAS.048

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Akitomo Ohba

Serial No.: 10/827,238

Group Art Unit: 2132

Filed: April 20, 2004

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For: FINGERPRINT AUTHENTICATION SYSTEM AND METHOD

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450

ATTENTION: FINANCE DIVISION

Sir: REQUEST FOR REFUND

An amount of \$180.00 was deducted from our Deposit Account 50-0481 on November 3, 2006, for fee code 1806, Information Disclosure Statement.


Our records show that an Information Disclosure Statement was filed on November 3, 2006. However, no fee was necessary because we have not yet received an Office Action or Notice of Allowance. Therefore, \$180.00 was charged in error and should be refunded.

Therefore, Applicant respectfully requests that the \$180.00 fee be refunded to Attorney's Deposit Account No. 50-0481. Please credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully Submitted,

Date:

6/14/07

  
Sean M. McGinn, Esq.  
Reg. No. 34,386

McGinn IP Law Group, PLLC  
Intellectual Property Law  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254

06/15/2007 13:56 7037612376

MOGINN IPLAW GROUP

PAGE 03/04

Attorney's Post Card Filing Receipt

Serial Number: 10/827,238

Papers Filed On: 11/3/06

Attorney's Docket Number: F-13110

☒ Patent

☐ Trademark

Applicant's Name: AKITOMO OHBA

Application Filing Date: 4/20/04

Papers Filed Herewith:

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Amendment                         | <input type="checkbox"/> Request for Extension of Time                 | <input type="checkbox"/> CPA Request          |
| <input type="checkbox"/> Notice of Appeal                  | <input type="checkbox"/> Appeal Brief (in triplicate)                  | <input type="checkbox"/> Reply Brief          |
| <input checked="" type="checkbox"/> IDS                    | <input checked="" type="checkbox"/> 1449 Form w/ <u>    </u> Documents | <input type="checkbox"/> Priority Document(s) |
| <input type="checkbox"/> Assignment                        | <input type="checkbox"/> Recordation Cover Sheet                       | <input type="checkbox"/> Formal Drawings      |
| <input type="checkbox"/> Drawing Corrections               | <input type="checkbox"/> Issue Fee Transmittal                         | <input type="checkbox"/> Missing Parts        |
| <input type="checkbox"/> Other <u>                    </u> |  |   |

Fees Filed Herewith: \$     

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8321 OLD COURTHOUSE ROAD, SUITE 200  
VIENNA, VIRGINIA 22182-3817  
TELEPHONE: (703) 761-4100  
FACSIMILE/DATA: (703) 761-2375; 761-2376  
E-MAIL: MCGINNGIBB@AOL.COM / ADMIN@MCGINNIPLAW.COM  
SENDER'S E-MAIL: SMCGINN@MCGINNIPLAW.COM

SEAN M. MCGINN  
PHILLIP E. MILLER†  
FREDERICK E. COOPERRIDER†  
JAMES E. HOWARD†  
SCOTT M. TULINO  
DONALD J. LECHER  
PETER F. MCGEE†  
JOHN W. FITZPATRICK†  
DONALD A. DIPALA†  
†MEMBER OF BAR OTHER THAN VA

June 14, 2007

VIA FACSIMILE

To: Mai  
Deposit Accounts  
U.S.P.T.O.

Facsimile No.: (571) 273-6500

From: Sean M. McGinn

Facsimile No.: (703) 761-2375

Re: November 30, 2006 Deposit Account Statement  
U.S. Patent Application No.: 10/827,238  
Our Ref.: YAS.048

Dear Mai:

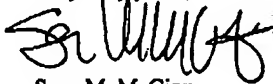
An amount of \$180.00 was deducted from our Deposit Account 50-0481 on November 3, 2006, for fee code 1806, Information Disclosure Statement.

Our records show that an Information Disclosure Statement was filed on November 3, 2006. However, no fee was necessary because we have not yet received an Office Action or Notice of Allowance. Therefore, \$180.00 was charged in error and should be refunded.

Attached is a copy of our dated-stamped postcard indicating the filing of the Information Disclosure Statement on November 3, 2006. For your convenience, also enclosed is a copy of the November 30, 2006 Monthly Statement of Deposit Account.

Thank you in advance for your consideration on this case.

Very truly yours,



Sean M. McGinn

SMM/yr  
Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

United States Patent and Trademark Office  
P.O. Box 1430  
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www.uspto.gov

## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion  
with your check. Make checks payable to "Director of the USPTO."

MCGINN & GIBB, PC  
SEAN A MCGINN  
8321 OLD COURTHOUSE RD.  
SUITE 200  
VIENNA VA 22182-3817

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MCGINN IP LAW, PLLC

Call the Deposit Account Branch at 571-272-8500 for assistance.

Account No.	500481
Date	11-30-06
Page	1

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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
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								6251.00
PAGE 4/4 * RCVD AT 6/15/2007 2:06:07 PM (Eastern Daylight Time) * SVR:USPTO-EFXXF-3/2 * DNS:2736500 * CSID:7037612376 * DURATION (mm:ss):01:34								
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